



# Kevin L. Cantrell

## Kevin L. Cantrell Vice President, Internal Audit

### Contact Information

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### Current Area of Practice

- Internal Audit

### Career Areas of Practice

- Internal Audit, Sarbanes-Oxley Compliance, Bank Broker-Dealer Compliance, Treasury Risk Management

### Career Industry Lines

- Energy, Financial Services

### Career Clients Served (Partial)

- AIM Investments, CenterPoint Energy, Chevron Phillips Chemical, CFA Institute, Crimson Exploration, Equiva Services, EOG Resources, LINN Energy, Organization of American States, Plains All American, Rice University, Valero Energy Corp., Washington Gas, Williams Energy

### Education

- BS – Accounting, University of Houston – Clear Lake

### Current Professional Designations

- Certified Public Accountant, Certified Internal Auditor

### Past Professional Designations

- Certified Treasury Professional, Project Manager Professional

### Current Professional Memberships/Activities

- Institute of Internal Auditors
- Board of Governors, IIA Houston Chapter
- UH Adjunct Professor, Advanced Internal Audit

## Background

Kevin joined Plains All American Pipeline, LP, as Managing Director of Internal Audit on April 13, 2009. He was promoted to Vice President, Internal Audit on February 17, 2011. He has accumulated 30 years of experience leading various types of risk management projects, including internal audits, treasury risk management, merger integration risk management, and Sarbanes-Oxley Act (SOX) compliance.

Kevin was a Managing Director and founding member of Protiviti, Inc., a global risk consulting and internal audit firm, which traces its roots to the former global accounting firm, Arthur Andersen, LLP. From 1999 to 2009, Kevin was part of Andersen and Protiviti's Risk Consulting practice in Houston, Texas, where he lead a variety of projects for clients in the Energy industry involving risk assessments of treasury, finance, and operational accounting functions and processes.

Prior to Andersen and Protiviti, Kevin was a Vice President and Manager of Capital Markets Compliance for J.P. Morgan Chase Bank in Houston. He was responsible for regulatory compliance oversight for the bank's broker-dealer and a separate NASD broker-dealer affiliate. At that time, he held a NASD Series 27 Financial & Operations Supervisory Principal license and acquired extensive knowledge of bank and broker regulatory areas, including Office of Foreign Asset Control (OFAC), Anti-Money Laundering (AML), Bank Secrecy Act (BSA), FDICIA, TEFRA, NASD, MSRB, OCC and SEC rules.

Kevin started his internal audit career as a professional in J.P. Morgan Chase's Internal Audit Division and, for over 10 years, he led audits of various bank entities, departments, and processes. He developed deep knowledge of bank operations, including Letters of Credit, Funds Transfers, Commercial & Consumer Loan Operations, Vault Operations, Proof & Transit, Lockbox, Capital Markets and Retail Branch Operations.

## Principal Areas of Practice

- **Internal Audit:** Kevin led Internal Audit engagements for a global financial services company. He planned and supervised projects and worked with key bank personnel to assess risks, develop audit plans, oversee execution of the plan, and report audit results to management. He is a **Certified Internal Auditor** and has successfully completed training to receive the **IIA's Quality Assessment/Validation Accreditation**.
- **Sarbanes-Oxley Act Compliance:** Kevin is a **Certified Public Accountant** and has led a number of SOX engagements involving accelerated and non-accelerated filers, as well as private companies preparing to go public. He formerly held the **Project Management Professional** designation and participated in developing and teaching the SOX project methodology at Protiviti.
- **Treasury Risk and Controls:** Kevin formerly held the **Certified Treasury Professional** designation and led numerous treasury risk and control reviews, addressing bank relationships, cash management, foreign exchange operations, and debt and investment activities, for clients across various industries. In response to the 2009 economic downturn and market uncertainties, he assisted a large utility company with an assessment of the cash forecasting process.